



The

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul DiCarlo et al.

Art Unit: 1773

Examiner: Kiliman, Leszek B.

Serial No.: 10/791,103

: 10/791,103 : March 2, 2004

Filed Title

: EMBOLIC COMPOSITIONS

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Applicants also hereby notify the Examiner that the following U.S. patent applications are commonly owned co-pending U.S. patent applications:

- U.S. Patent Application Serial No. 11/111,511, filed April 21, 2005
- U.S. Patent Application Serial No. 11/117,156, filed April 28, 2005
- U.S. Patent Application Serial No. 11/154,106, filed June 15, 2005
- U.S. Patent Application Serial No. 11/165,949, filed June 24, 2005

Applicants are uncertain of the date of publication of the following reference that is listed in the attached PTO-1449 form:

"Fibroid Treatment Collective – Fibroid Embolization" (2 pages), <a href="http://www.fibroids.org">http://www.fibroids.org</a> (Ref. ARRR)

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

Applicant: Paul DiCarlo et al.

Serial No.: 10/791,103 Filed: March 2, 2004

Page

: 2 of 2

Therefore, while Applicants do not concede that this reference qualifies as prior art to this application, Applicants request that the Examiner treat it as prior art at this time for purposes of examination of this application.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Attorney's Docket No.: 01194-823002 / 02-082US02

Date: October 17, 2005

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

21185586.doc

OCT 1 9 2005

Form PTO-1449 Substitut

Desig.

ID

AA

AB

AC

AD

AE

AF

AG

AH

ΑĬ

ΑJ

AK

AL

AM

AN

AO

AP

AQ

AR

AS

AT

AU

AV

AW

AX

AY

U.S. Department of Commerce Patent and Trademark Office

Publication

Date

12/15/1987

01/30/1990

02/25/1992

01/26/1993

11/23/1993

06/14/1994

08/22/1995

03/31/1998

05/26/1998

03/30/1999

05/02/2000

01/30/2001

08/28/2001

10/23/2001

11/06/2001

04/09/2002

05/23/2002

10/01/2002

10/31/2002

12/05/2002

12/17/2002

03/02/2004

09/23/2004

02/03/2005

02/17/2005

Attorney's Docket No. 01194-823002

Application No. 10/791,103

**Information Disclosure Statement** by Applicant (Use several sheets if necessary)

Document

Number

4,713,076

4,897,255

5,091,205

5,181,921

5,263,992

5,320,639

5,443,495

5,733,925

5,756,127

5,888,930

6,056,844

6,179,817

6,280,457

6,306,425

6,312,942

6,368,658

6,458,296 2002/0160109

6,495,155

6,699,222

2002/0061954

2002/0182190

2004/0186377

2005/0025800

2005/0037047

Applicant Paul DiCarlo et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

Examiner

Initial /LK/

/LK/

/LK/ /LK/

/LK/

/LK/

/LK/ /LK/

/LK/

/LK/

/LK/

/LK/

/LK/

/LK/

/LK/

/LK/ /LK/

/LK/

/LK/

/LK/ /LK/

/LK/

/LK/

/LK/

/LK/

March 2, 2004 1773 **U.S. Patent Documents** Filing Date Class Subclass If Appropriate Patentee Draenert Fritzberg et al. Fan Makita et al. Guire Rudnick Buscemi et al. Kunz et al. Grisoni et al. Smith et al. Guiles et al. **Zhong** Wallace et al. Tice et al. Plüss-Wenzinger et al. Schwarz et al. Davis et al. Heinzen et al. Yeo et al.

Examiner Signature			Date Considered
-	/LK/	/Leszek Kiliman/	04/02/2008
EVAMINED: Initials situation	an considered	1. Draw line through citation	if not in conformance and not considered. Include copy of this form with

Naimark et al.

Tice et al.

Jones et al.

Zhong et al.

Tan

Song

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-823002	Application No. 10/791,103
	losure Statement	Applicant Paul DiCarlo et al.	
	eets if necessary)	Filing Date March 2, 2004	Group Art Unit 1773

	Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or				lation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No	
/LK/	AZ	EP 0 067 459	12/22/1982	EPO					
/LK/	AAA	EP 0 243 165	10/28/1987	EPO					
/LK/	ABB	EP 0 294 206	12/07/1988	EPO					
/LK/	ACC	NZ 255409	02/24/1997	New Zealand					
/LK/	ADD	NZ 517377	08/29/2003	New Zealand					
/LK/	AEE	WO 01/12359	02/22/2001	WIPO					
/LK/	AFF	WO 01/66016	09/13/2001	WIPO					
/LK/	AGG	WO 03/016364	02/27/2003	WIPO					
/LK/	АНН	WO 2004/019999	03/11/2004	WIPO					
/LK/	AII	WO 2004/075989	09/10/2004	WIPO					

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
/LK/	AJJ	Bourke et al., "Protein Drug Release from Photocrosslinked Poly(vinyl alcohol) Hydrogels," Society for Biomaterials 28th Annual Meeting Transactions, p. 144 (2002)				
/LK/	AKK	Capozza et al., "Endoscopic treatment of vesico-ureteric reflux and urinary incontinence: technical problems in the paediatric patient," <i>British Journal of Urology</i> , 75(4):538-542 (April 1995)				
/LK/	ALL	Cruise et al., "In Vitro and In Vivo Characterization of a Hydrogel-Based Aneurysm Embolization System," Society for Biomaterials 28th Annual Meeting Transactions, p. 203 (2002)				
/LK/	AMM	Duckwiler et al., "Catheters, embolic agents spark neurointervention," Diagnostic Imaging, 16(5):66-72 (May 1994)				
/LK/	ANN	Ersek et al., "Bioplastique: A New Textured Copolymer Microparticle Promises Permanence in Soft-Tissue Augmentation," <i>Plastic and Reconstructive Surgery</i> , 87(4):693-702 (April 1991)				
/LK/	AOO	Eskridge, "Interventional Neuroradiology," Radiology, 172:991-1006 (November 1989)				
/LK/	APP	Gramiak et al., "Echocardiography of the Aortic Root," <i>Investigative Radiology</i> , 3(5):356-366 (September-October 1968)				
/LK/	AQQ	Gupta et al., "Plasma-induced graft polymerization of acrylic acid onto poly(ethylene terephthalat films: characterization and human smooth muscle cell growth on grafted films," <i>Biomaterials</i> , 23:863-871 (2002)				
/LK/	ARR	Halstenberg et al., "Biologically Engineered Protein-graft-Poly(ethylene glycol) Hydrogels: A Cell Adhesive and Plasmin-Degradable Biosynthetic Material for Tissue Repair," <i>Biomacromolecules</i> , 3(4):710-723 (2002)				
/LK/	ASS	Hirano et al., "Transcutaneous Intrafold Injection For Unilateral Vocal Fold Paralysis: Functional Results," Ann. Otol. Rhinol Laryngol., 99(8):598-604 (August 1990)				

Examiner Signature /LK/	/Leszek Kiliman/	Date Considered 04/02/2008
EXAMINER: Initials citation considered next communication to applicant.	. Draw line through citation if no	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01194-823002	Application No. 10/791,103
	closure Statement	Applicant Paul DiCarlo et al.	
· ·	(Use several sheets if necessary)		Group Art Unit 1773

. (	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
/LK/	ATT	Jung et al., "Sulfobutylated poly(vinyl alcohol)-graft-poly(lactide-co-glycolide)s facilitate the preparation of small negatively charged biodegradable nanospheres," <i>Journal of Controlled Release</i> , 67:157-169 (2000)				
/LK/	AUU	Khankan et al., "Embolic Effects of Superabsorbent Polymer Microspheres in Rabbit Renal Model: Comparison with Tris-acryl Gelatin Microspheres and Polyvinyl Alcohol," <i>Radiation Medicine</i> , 22(6):384-390 (2004)				
/LK/	AVV	Kim et al., "Poly(vinyl alcohol) beads with core-shell structure for drug delivery," Cosmetic and Pharmaceutical Applications of Polymers, Plenum Press, New York, pp. 209-214 (1991)				
/LK/	AWW	Kim et al., "Suspension polymerized poly(vinyl alcohol) beads for drug delivery," Polymeric Materials: Science and Engineering, Proceedings of the ACS Division of Polymeric Materials: Science and Engineering, 63:64-67 (1990)				
/LK/	AXX	Krinick et al., "A polymeric drug delivery system for the simultaneous delivery of drugs activatable by enzymes and/or light," J. Biomater. Sci. Polymer Edn, 5(4):303-324 (1994)				
/LK/	AYY	Lemperle et al., "PMMA Microspheres for Intradermal Implantation: Part I. Animal Research," Annals of Plastic Surgery, 26(1):56-63 (January 1991)				
/LK/	AZZ	Levy et al., "Transcatheter Uterine Artery Embolization for the Treatment of Symptomatic Uterine Fibroid Tumors," <i>Journal of Women's Imaging</i> , 2(4):168-175 (2000)				
/LK/	AAAA	Lipman, "Uterine artery embolization for the treatment of symptomatic uterine fibroids: A review," Applied Radiology, 29(7):15-20 (July 2000)				
/ <b>LK</b> /	ABBB	Maruhashi, "Modified Polyvinyl Alcohols I and II," <u>Polyvinyl Alcohol - Developments</u> , John Wiley Sons, Chichester, England, pp. 160-161 and pp. 186-191 (1992)				
/LK/	ACCC	Namiki, "Application of Teflon Paste for Urinary Incontinence – Report of 2 Cases," Uro. Int., 39:280-282 (1984)				
/LK/	ADDD	Orienti et al., "Crosslinked Polyvinylalcohol Hydrogels as Vehicles for Hydrophilic Drugs," Arch. Pharm. Pharm. Med. Chem., 333:421-424 (2000)				
/LK/	AEEE	Pedley et al., "Hydrogels in Biomedical Applications," <i>British Polymer Journal</i> , 12:99-110 (September 1980)				
/LK/	AFFF	Pistel et al., "Brush-like branched biodegradable polyesters, part III Protein release from microspheres of poly(vinyl alcohol)-graft-poly(D,L-lactic-co-glycolic acid)," <i>Journal of Controlled Release</i> , 73:7-20 (2001)				
/LK/	AGGG	Politano et al., "Periurethral Teflon Injection for Urinary Incontinence," <i>The Journal of Urology</i> , 111:180-183 (1974)				
/LK/	АННН	Progelhof et al., "Table 4.21. Properties of electrical insulating films (101)," <u>Polymer Engineering</u> Principles: Properties, Processes, and Tests for Design, Hanser Publishers, Munich, p. 383 (1993)				
/LK/	AIII	PVA Plus, AngioDynamics® Inc., "Reliable PVA Foam Formulated for Consistency and Controlled Delivery – Embolization Particles Ordering Information," <a href="www.angiodynamics.com">www.angiodynamics.com</a> (August 2002) (2 pages)				
/LK/	AJJJ	Rhine et al., "Polymers for Sustained Macromolecule Release: Procedures to Fabricate Reproducible Delivery Systems and Control Release Kinetics," <i>Journal of Pharmaceutical Sciences</i> , 69(3):265-270 (March 1980)				
/LK/	AKKK	Schetky, "Shape-Memory Alloys," Encyclopedia of Chemical Technology, Third Edition, Volume 20, John Wiley & Sons, New York, pp. 726-736 (1982)				

Examiner Signature			Date Considered
	/LK/	/Leszek Kiliman/	04/02/2008
EXAMINER: Initials ci next communication to		ered. Draw line through citation i	not in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)	• • • • • • • • • • • • • • • • • • • •		Application No. 10/791,103
	losure Statement	Applicant Paul DiCarlo et al.	
	eets if necessary)	Filing Date March 2, 2004	Group Art Unit 1773

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
/LK/	ALLL	Shafik, "Intraesophageal Polytef injection for the treatment of reflux esophagitis," Surg. Endosc., 10:329-331 (1996)			
/LK/	AMMM	Siskin et al., "Pathologic Evaluation of a Spherical Polyvinyl Alcohol Embolic Agent in a Porcine Renal Model," J. Vasc. Interv. Radiol., 14:89-98 (2003)			
/LK/	ANNN	Smith et al., "Evaluation of Polydimethylsiloxane as an alternative in the Endoscopic Treatment of Vesicoureteral Reflux," <i>The Journal of Urology</i> , 152:1221-1224 (October 1994)			
/LK/	A000	oppimath et al., "Controlled release of antihypertensive drug from the interpenetrating network oly(vinyl alcohol)-guar gum hydrogel microspheres," J. Biomater. Sci. Polymer Edn, 11(1):27-43 2000)			
/LK/	APPP	Strasnick et al., "Transcutaneous Teflon® Injection for Unilateral Vocal Cord Paralysis: An Update," The Laryngoscope, 101:785-787 (July 1991)			
/LK/	AQQQ	Tian et al., "Design and synthesis of amphiphilic poly (ethylene glycol) derivatives as micellar drug delivery systems," <i>Polymer Preprints</i> , 43(2):719-720 (Fall 2002)			
/LK/	ARRR	"Fibroid Treatment Collective - Fibroid Embolization" (2 pages), http://www.fibroids.org			
/LK/	ASSS	White, Jr., "Embolotherapy in Vascular Disease," American Journal of Roentgenology, 142:27-30 (January 1984)			
/LK/	ATTT	Winters et al., "Periurethral injection of collagen in the treatment of intrinsic sphincteric deficiency in the female patient," The Urologic Clinics of North America, 22(3):673-678 (August 1995)			
/LK/	AUUU	Yoon et al., "Surface Immobilization of Galactose onto Aliphatic Biodegradable Polymers for Hepatocyte Culture," <i>Biotechnol. Bioeng.</i> , 78(1):1-10 (April 5, 2002)			
/LK/	AVVV	Zisch et al., "Covalently conjugated VEGF-fibrin matrices for endothelialization," Journal of Controlled Release, 72:101-113 (2001)			

Examiner Signature	/LK/	/Leszek Kiliman/	Date Considered 04/02/2008
EXAMINER: Initials citat next communication to a		d. Draw line through citation	if not in conformance and not considered. Include copy of this form with